

## 5. Documentation of the Clinical Database

### 5.a. Clinical Database Design Structure

There are 21 tables in the Clinical database; 6 of which are system tables (stbl) and 15 are simple database tables (tbl). There are 34 queries; 32 of which are simple database queries (qry) and 2 are system queries (zqry). There are also 18 forms, 9 reports, and 6 modules. Information on the study cases/controls is recorded in the clinical database screens, which are found throughout the 18 forms of the Clinical database. The tables of the Clinical database differ than those of the CATI. Some tables list the “LUGroup” which is a field name given for where certain items are grouped together, while the form screen under the database’s “Properties” indicates the “Control Source” to be a field name. The “LUItem” is the code given for the responses; or response code. The “LUValue” is the response options for the items.

For some items, the response codes are listed under queries instead of tables; and sometimes they are listed under both queries and the master table: stblLookupLists under a specific “LUGroup”. Checkbox answers are given the standard database values (response codes) of “-1” is true/yes and “0” is false/no. If the box is checked, that equals yes or true and it is given a value of “-1”. The checkbox values are not given in any specific table in the Clinical Database. The “option group” (also known as “Radio Buttons”) allows for only one answer choice to be checked/selected. Like the checkbox option, it is a standard database feature and has no tables or response codes linked to it. However, unlike the checkbox answers, the database does not provide a “-1” or a “0” response code/value for the option group/radio button; but a different number corresponding to each separate response/choice. Text responses indicate the information (even if it is a number) is imputed manually and there is no response code for it found in the tables. It is regarded as a text answer.

### 5.b. Table to Form Connections

#### 5.b.i. Relation Between Tables (Pending)

### 5.c. Clinical Database Documentation by Content Area

The Main Switchboard screen has the following: “New and Pending”, “Completed”, “Add New Records”, “Reports”, “Browse Case Notes”, and a blank space for a “Direct ID Search”. It also has a “Data Managers” button designed for data managers to access and an “Exit Application” button in a red hexagon stop sign to leave/end the Clinical database.

The “Data Managers” access button includes a blank space for the data manager to specify which study ID to be deleted, another blank space for reconfirmation of the study ID to be deleted, and the study ID’s date of birth. It also has a “Delete Now” button and a “Cancel” button.

Record Deletion Tool - Data Managers Only

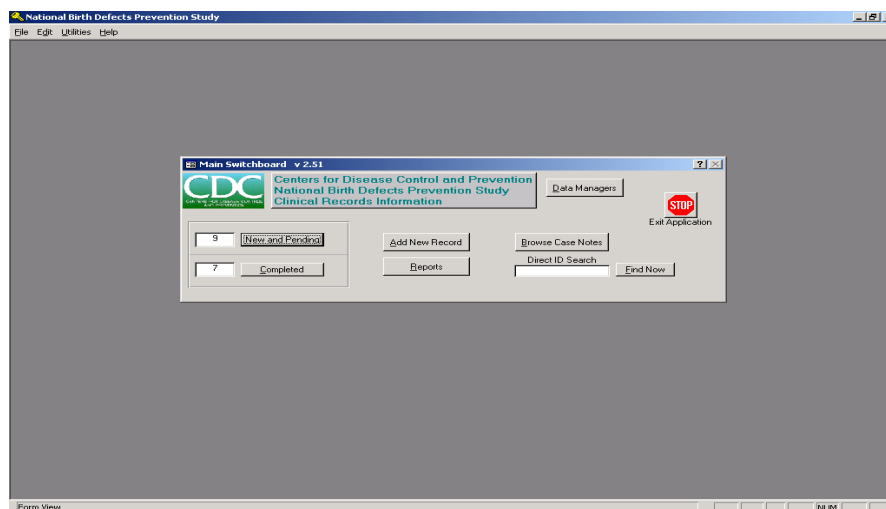
Study ID to Delete:

Re-Enter to Confirm:

Enter Date of Birth:

Delete Now

Cancel



In certain sections of the Clinical Database, the terms “ICD-9” codes and “NBDPS” codes will appear. The “ICD-9” codes (or “ICD-9 CM” codes) will refer to the International Classification of Diseases – 9<sup>th</sup> Revision, Annotated Edition, Clinical Modification –Volume 1 (WHO, October 1989). The “NBDPS” codes apply to the NBDPS study only and are more defect-specific than ICD-9 codes. The “ICD-9” codes are a 4-digit code; the “ICD-9 CM” codes are a 5-digit code; the “NBDPS” codes are a 6-digit code classified as “eligible” and “non-eligible”.

### 5.c. Clinical Database

The Study ID number as well as the checkbox “Completed Status” field appear in the “New and Pending” as well as the “Completed” screens; under all of their sections/tabs. Status of “new” cases is automatically checked once the new case page is opened. The study manager or clinical reviewer checks statuses of cases such as “pending”, pending and ready for interview “and” complete. The type of date recorded is also an option that is automatically checked once the date is recorded.

When information is from the tracking system regarding a case is entered, the case is considered to be a “new” case. When the study coordinator inputs the information, the case is considered to be a “pending” case; at this point, case eligibility is not determined until the clinical geneticist has reviewed the case. After the CDC clinical reviewer reviews the case and more information is needed, the case status is updated (see response options below). The case becomes “complete” (or it is classified as “Not a NBDPS Case”) after it has been reviewed and no additional information is needed.

#### Case Status:

<u>Response</u>	<u>Description</u>
1.....	New
2.....	Pending
3.....	Pending, Ready for Interview
4.....	Completed

**Table Name:** stblLookupLists  
**LUGroup:** CaseStatus

There are two categories for date type in the Clinical Database. A “Date Data Type” corresponds to when the entire date (month, day, and year) is manually entered in one single field (i.e.: “Mother’s Birth Date” is given as “mm/dd/yyyy” in one field). A “Three Part Date” corresponds to when the date is entered in three separate fields (i.e.: “EDD” –expected delivery date- is given as “mm” in the month field, “dd” in the day field, and “yyyy” in the year field). The “Three Part Date” provides a pull-down lists for each field.

#### Date Type:

<u>Response</u>	<u>Description</u>
1.....	Date Data Type
2.....	Three Part Date

**Table Name:** stblLookupLists  
**LUGroup:** DateType

#### 5.c.i. Section A: “New and Pending” and “Completed”

The “New and Pending” button leads to the “Clinical Records –New and Pending” screen. Where the data manager can search for a new and pending study ID number. There are 8 tabs shown for cases that lead to other screens within the “New and Pending” and the “Completed” screens: “General”, “Diagnosis”, “Exams”, “Autopsy”, “Lab Tests”, “Comments”, “Case Notes”, and “Case Classification”. For controls, only 3 tabs are shown: “General”, “Case Notes”, and “Case Classification”. All of these screens will show the “Search for Study ID” field, the “Study ID” field, the “Preview/Print” button (displays a print preview of the detailed report on the current selection. This report contains all of the information collected on a case/control. Once viewed, it can be printed from the “File” menu or by right-clicking on the report), the “DupDxCode” button which leads to a table where one can check for duplicate diagnosis codes, the “OK” button, the “Cancel” button, the “Locked” button and the “Completion Status” field which marks the case is “new” or “pending” from the options: “New”, “Pending”, “Pending/Ready for Interview”, or “Completed”.

The screenshot shows the "Clinical Records - New and Pending" window. At the top, there's a "Search for Study ID" field with the value "990030204". Below this are buttons for "Preview/Print", "OK", "Cancel", and "Locked". A "Completion Status" section has radio buttons for "New", "Pending", "Pending/Ready for Interview", and "Completed". The main form area has tabs for "General", "Diagnosis", "Exams", "Autopsy", "Lab Tests", "Comments", "Case Notes", and "Case Classification". The "General" tab is active, showing fields for "Mother's Birth Date", "Mother's Age", "Date of Birth/Pregnancy Termination", "Race", "Plurality", "Gender", "Date of Birth", "Date of Death", "LMP Date", "EDD Date", "Gestational Age", "GestAgeSource", "Birth Weight", "Length", and "Head Circ". There are also checkboxes for "Live Birth", "Did the Baby expire?", "Preterm", "Term", "Post Term", and "Known Age".

**5.c.i.1. General**

The “General” screen includes information on mother’s and index baby’s birth date, race, outcome, plurality, gender, mother’s LMP date (“last menstrual period”), EDD date (“expected delivery date”) and source (obtained from medical record or calculated), date of first ultrasound, index baby’s growth parameters, gestational age, and gestational age source. The field where a study ID can be linked is for cases where siblings are involved in the study. Information on date of birth, outcome, and gender are obtained from the tracking system tool where each case is initially recorded and assigned a NBDPS study ID. All other information needs to be added from the information obtained from abstractors or vital records.

**Search for Study ID:**

<u>Response</u>	<u>Description</u>
Number.....	Study ID Number

**Query Name:** qryCaseList

**Note:** The Study ID Number is a 9-digit number that corresponds to the following:

1 <sup>st</sup> and 2 <sup>nd</sup> digits:	Location Identifier (represents the Center number)
3 <sup>rd</sup> and 4 <sup>th</sup> digits:	Case Birth Year (birth year of Case or Control)
5 <sup>th</sup> digit:	Case/Control Identifier (Case = 1, Control = 2, Practice/Test = 3)
6 <sup>th</sup> to 9 <sup>th</sup> digits:	Unique Sequential Identifier (unique number given to each Case or Control. It is sequentially incremented and it is reset back to “0001” at the start of each year).

**Mother’s Birth Date:** (mm/dd/yyyy)

<u>Response</u>	<u>Description</u>
MM/DD/YYYY.....	Month/Day/Year

**Control Name:** MotherBDay

**Date Type:**

<u>Response</u>	<u>Description</u>
1.....	Date Data Type

**Table Name:** stblLookupLists  
**LUGroup:** DateType

**Mother’s Age:**

**Note:** Age determined by Mother’s Birth Date minus Child’s Birth Date.

**Date of Birth/Pregnancy Termination:** (mm/dd/yyyy)

<u>Response</u>	<u>Description</u>
MM/DD/YYYY.....	Month/Day/Year

**Control Source:** BirthDate

**Date Type:**

<u>Response</u>	<u>Description</u>
1.....	Date Data Type

**Table Name:** stblLookupLists  
**LUGroup:** DateType

**Race:**

<u>Response</u>	<u>Description</u>
1.....	White, not Hispanic
2.....	Black, not Hispanic
3.....	Asian, Pacific Islander
4.....	Native American or Alaskan Native
97.....	NS- Not Stated
6.....	Hispanic
5.....	Other
7.....	DK

**Table Name:** stblLookupLists  
**LUGroup:** Race

**Outcome:**

<u>Response</u>	<u>Description</u>
1.....	Live Birth
2.....	Fetal death >= 20 weeks (Stillbirth)
3.....	Induced Abortion
4.....	Fetal death < 20 weeks (Excluded)

**Query Name:** qryOutcome\_Case

**“Did the baby expire?”**

<u>Response</u>	<u>Description</u>
Check box.....	True/False
-1.....	Yes (true)
0.....	No (false)

**Date of Death:** (if the baby expired)

<u>Response</u>	<u>Description</u>
MM.....	Month
1.....	January
---	---
12.....	December
90.....	Beginning of year
91.....	Middle of year

92.....End of year  
97.....NS- Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** MonthList

<u>Response</u>	<u>Description</u>
DD.....	Day
1.....	1 <sup>st</sup> day
---	---
31.....	31 <sup>st</sup> day
90.....	Beginning of month
91.....	Middle of month
92.....	End of month
97.....	NS- Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** DayList

<u>Response</u>	<u>Description</u>
YYYY.....	Year
1996.....	1996
---	---
2003.....	2003
97.....	NS- Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** YearList

**Date Type:**

<u>Response</u>	<u>Description</u>
2.....	Three Part Date

**Table Name:** stblLookupLists  
**LUGroup:** DateType

---

**Plurality:**

<u>Response</u>	<u>Description</u>
1.....	Single
2.....	Twin
3.....	Other multiple birth
9.....	DK

**Table Name:** stblLookupLists  
**LUGroup:** Plurality

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**Gender:**

<u>Response</u>	<u>Description</u>
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1.....	Male
2.....	Female
3.....	Ambiguous
97.....	NS- Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** Gender

---

**LMP Date:**

<u>Response</u>	<u>Description</u>
MM.....	Month
1.....	January
---	---
12.....	December
90.....	Beginning of year
91.....	Middle of year
92.....	End of year
97.....	NS- Not Stated

**Query Name:** qryMonthList

<u>Response</u>	<u>Description</u>
DD.....	Day
1.....	1 <sup>st</sup> day
---	---
31.....	31 <sup>st</sup> day
90.....	Beginning of month
91.....	Middle of month
92.....	End of month
97.....	NS- Not Stated

**Query Name:** qryDayList

<u>Response</u>	<u>Description</u>
YYYY.....	Year
1996.....	1996
---	---
2003.....	2003
97.....	NS- Not Stated

**Query Name:** qryYearList

**Date Type:**

<u>Response</u>	<u>Description</u>
2.....	Three Part Date

**Table Name:** stblLookupLists  
**LUGroup:** DateType

---

**Date of First Ultrasound:**

**Note:** Response codes, descriptions, and query names for date values are the same as the ones listed above in "LMP Date".

**Month:**

**Query Name:** qryMonthList

**Day:**

**Query Name:** qryDayList

**Year:**

**Query Name:** qryYearList

**Date Type:**

<b><u>Response</u></b>	<b><u>Description</u></b>
2.....	Three Part Date

**Table Name:** stblLookupLists  
**LUGroup:** DateType

---

**EDD Date:**

**Note:** Response codes, descriptions, and query names for date values are the same as the ones listed above in "LMP Date".

**Month:**

**Query Name:** qryMonthList

**Day:**

**Query Name:** qryDayList

**Year:**

**Query Name:** qryYearList

**Date Type:**

<b><u>Response</u></b>	<b><u>Description</u></b>
2.....	Three Part Date

**Table Name:** stblLookupLists  
**LUGroup:** DateType

---

**Ultrasound Dating:**

<b><u>Response</u></b>	<b><u>Description</u></b>
Text.....	Age (weeks) determined by the first ultrasound.
Text.....	Age (days) in addition to ultrasound weeks.

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**EDD Source:**

<u>Response</u>	<u>Description</u>
11.....	Medical Record
12.....	Ultrasound, LMP, Exam or Combo

**Table Name:** stblLookupLists  
**LUGroup:** EDDSource

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**Growth Parameters- Birth Weight:**

<u>Response</u>	<u>Description</u>
Check box.....	True/False NS- Not Stated
-1.....	Yes (true)
0.....	No (false)
Text.....	Number:
Text.....	Birth weight in pounds (lbs)
Text.....	Birth weight in ounces (oz)
Text.....	Birth weight in grams

---

**Growth Parameters- Length:**

<u>Response</u>	<u>Description</u>
Check box.....	True/False NS- Not Stated
-1.....	Yes (true)
0.....	No (false)
Text.....	Number
1.....	Inches
2.....	Centimeters

**Table Name:** stblLookupLists  
**LUGroup:** LengthUnit

---

**Growth Parameters- Head Circumference:**

<u>Response</u>	<u>Description</u>
Check box.....	True/False NS- Not Stated
-1.....	Yes (true)
0.....	No (false)
Text.....	Number
1.....	Inches
2.....	Centimeters

**Table Name:** stblLookupLists  
**LUGroup:** LengthUnit

---

**Gestational Age:**

<u>Response</u>	<u>Description</u>
-----------------	--------------------

Checkbox 1.....Preterm  
 Checkbox 2.....Term  
 Checkbox 3.....Post Term  
 Checkbox 4.....Known Age\*  
 Checkbox 5.....Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** GestAgeOption

**\*Note:** If a “known age” is selected, then the number of weeks is entered. A gestational age with a decimal point in the value is accepted by the database program (i.e.: 9.5 weeks).

<u>Response</u>	<u>Description</u>
	Number
1.....	Day(s)
2.....	Week(s)
3.....	Month(s)

**Table Name:** stblLookupLists  
**LUGroup:** GestAgeUnit

**GestAgeSource:**

<u>Response</u>	<u>Description</u>
1.....	US <14 weeks
2.....	LMP
3.....	US between 14 and 27 weeks
4.....	Standard Neonatal Exam*
5.....	NS- Not Stated
6.....	Other

**\*Note:** The “Standard neonatal exam” means a Ballard or Dubowitz exam.

**Table Name:** stblLookupLists  
**LUGroup:** DateSource

**5.c.i.2. Diagnosis**

The “Diagnosis” screen is where all the cases’ defects are recorded and whether they are eligible. If the “Eligible Defect?” box is checked, then the pull-down menu provides a list of all the NBDPS eligible defects. If the “Eligible Defect?” box is not checked, then the pull-down menu provides a list of all the NBDPS codes for non-eligible defects. There is also an option to be checked if the defect is eligible but it does not meet NBDPS criteria (i.e.: when the baby has Muscular VSD and/or Hydrocephalus- NOS.) Note: See “Abstractor’s instructions” for Septal Heart Defects to see eligibility exclusions for Muscular VSD.

There is another option to be checked if there is a possible or probable diagnosis of the case. Although each defect is coded, the “Verbatim Diagnosis” is a space provided for the abstractor to enter the verbatim diagnosis obtained from the medical record. This assists the clinical reviewers in their efforts to verify that the correct code was entered, as well as provides others reviewing the case at a later date with additional information that might not be provided by

the code. In addition, the verbatim diagnosis should match the verbal description that appears in the field next to the “NBDPS/ICD-9 Code” drop-down list.

The link in red: “[Click here for case abstraction instructions for eligible diagnoses](#)” links to a screen that gives an Explanation/Glossary of the eligible defects. It lists birth defect and its definition, types and definitions, ICD-9-CM codes, inclusions, exclusions, additional birth defects, information sources and medical records, defect-specific information to abstract, and additional comments.

The “Reviewer Comments” field is for information pertaining to a baby who is suspected or known to have a sequence, association, or syndrome of unknown etiology (i.e.: VATER association). Cases with syndromes of known etiology (chromosomal abnormalities, single-gene disorders) are excluded from the study. If it is clear that the etiology is known, then the case does not need to be entered into the clinical database. If such a case has been entered, and the clinical reviewer determines that it is not eligible for the study, this information should be entered into “Reasons for Exclusion” field in the “Comments” section/tab. If a case has a syndrome that is suspected to have a chromosome abnormality but a karyotype was not done, the case should be included in the study and a comment regarding the possible known etiology should be entered into this field. Note: This field is not intended to be used for comments back to abstractors or study coordinators.

#### “Eligible Defect?”

<u>Response</u>	<u>Description</u>
Check box.....	True/False
-1.....	Yes (true)
0.....	No (false)

#### NBDPS Code (Eligible Defects):

<u>Response</u>	<u>Description</u>
6-Digit Number.....	NBDPS Code list (776 codes ranging from 658.800 to 759.380).

**Query Name:** qryBDCodes\_Eligible\_List

**NBDPS Code (Non-Eligible Defects):**

<u>Response</u>	<u>Description</u>
6-Digit Number.....	NBDPS Code list (871 codes ranging from 155.000 to 900.000).

**Query Name:** qryBDCodes\_List

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**“Possible/Probable Diagnosis?”**

<u>Response</u>	<u>Description</u>
Check box.....	True/False
-1.....	Yes (true)
0.....	No (false)

---

**Laterality:** (shown for some defects)

<u>Response</u>	<u>Description</u>
0.....	Laterality, unknown
1.....	Unilateral, left
2.....	Unilateral, right
3.....	Unilateral, side unknown
4.....	Bilateral
99.....	NA

**Table Name:** stblLookupLists  
**LUGroup:** Laterality

---

**Eligible Defect but does not meet NBDPS Criteria:**

<u>Response</u>	<u>Description</u>
Check box.....	True/False
-1.....	Yes (true)
0.....	No (false)

---

**Verbatim Diagnosis:**

<u>Response</u>	<u>Description</u>
Text.....	Defect descriptions and summary diagnoses as written in the medical record.

---

**Reviewer Comment:**

<u>Response</u>	<u>Description</u>
Text.....	Summary diagnosis suspected by clinician reviewer.

#### 5.c.i.2.A Diagnosis-

##### **View/Edit Code → Eligible Codes**

The “**View/Edit Code**” Button in the “Diagnosis” screen leads to the “Eligible Codes” screen where an eligible defect can be selected from the pull-down menu and its selected defect code is shown at the bottom of the screen. In this screen, there is also an “OK” button as well as a “Cancel” button.

The Explanation/Glossary screen can also be seen from the “Eligible Codes” screen by clicking on its link (in red): “*View Coding Instructions for Selected Defect*”. It is not a way to define the codes, but a way to determine the code of a certain defect by filling in the blank field spaces noted below:

**First Three Digits:** Description of defect group by the first three digits.

**Fourth Digit:** Description of defect group for the fourth digit.

**Fifth Digit:** Description of defect group for the fifth digit.

**Sixth Digit:** Description of defect group for the sixth digit.

**Selected Defect Code:**

Shows the defect selected based on the information inputted above regarding digit identification numbers.

#### 5.c.i.3. Exams

The “Exams” section is one of the most important sections for the clinical reviewer. The “Exams” screen lists only procedures and/or exams performed that led to diagnoses or pertinent procedures and/or exams that were normal. It also records the date, location and results of the exams. The aim of this section is to document the available information (positive and pertinent negative) on the cases and the source of that information to verify the defects. For each defect entered (eligible or non-eligible), the exam by which the diagnosis was made must be listed unless the diagnosis was made by an autopsy. Note that some defects need a specific exam to qualify as a NBDPS case (i.e.: cardiac defect echo).

This section is particularly important in cases where different sources provide conflicting information. Exams that yield pertinent negative results should also be documented. Most babies should have a physical exam/consultation entered since even if there is no defect identifiable on physical exam, it is important to document that a physician examined the infant, and no other defects were noted. Other examples of pertinent negative exams are a renal ultrasound and spine x-rays in a case with a TE fistula. This would be important information in documenting whether other VACTERL association defects were present. For the exams with positive results (resulting in the suspicion or diagnosis of a defect), it is important to include as much information as possible about each exam.

**National Birth Defects Prevention Study**

File Edit Utilities Help

**Clinical Records - New and Pending**

Search for Study ID: 990030204 Preview/Print OK Cancel Locked

Study ID: 990030204 Completion Status: ☒ New ☐ Pending ☐ Pending Ready for Interview ☐ Completed

General Diagnosis **Exams** Autopsy Lab Tests Comments Case Notes Case Classification

\* List only procedures/exams that led to diagnoses or pertinent procedures/exams which were normal \*

Exams used to determine diagnoses:

Procedure/Exam Performed: Echocardiography Exam Date: 2-Feb / 16 / 00

Results of Exam: Results of Exam

Location of Exam: Specialty Clinic, Specify Specify Location of Exam:

Record: 1 of 1

Form View

**Procedure/Exam Performed:**

<u>Response</u>	<u>Description</u>
2.....	Amniocentesis
3.....	CVS, chorionic villus sampling
4.....	Ultrasound, fetal, noncardiac
5.....	Fetal echocardiography by cardiologist
6.....	PUBS, Percutaneous umbilical blood
7.....	Fetal surgery
88.....	Other Prenatal, Specify (e.g., radiography, fetal dye)
99.....	Physical Exam / Consultation
100.....	Echocardiography
101.....	Cardiac catheterization
102.....	Arteriography
103.....	Computed tomography (CT)
104.....	Magnetic resonance imaging (MRI)
105.....	Radiograph or Xray, plain film
106.....	Radiograph or Xray w contrast material
107.....	Radionuclide or radioisotope scan
108.....	Ultrasound, postnatal, noncardiac
118.....	Other Postnatal imaging studies, Specify
130.....	Auditory brainstem response (ABR/BAER)
132.....	Electrocardiogram (EKG, ECG)
133.....	Electroencephalogram (EEG)
134.....	Electroretinogram (ERG)
135.....	Eye examination under anesthesia
136.....	Visual evoked response (VER)
148.....	Other diagnostic procedures: Specify
200.....	Amputation
201.....	Anastomosis / Reanastomosis
202.....	Biopsy
203.....	Closure
204.....	Excision / Removal
205.....	Exploration

206.....	Graft / Patch
207.....	Ligation
208.....	Ostomy
209.....	Reimplantation
210.....	Reinsertion
211.....	Repair / Correction
212.....	Replacement
213.....	Revision
214.....	Shunt
238.....	Surgery, Other: Specify
239.....	Surgery, NOS
250.....	Bracing / Splinting
251.....	Casting
252.....	Exchange transfusion
278.....	Other nonsurgical procedure: Specify

**Table Name:** stblLookupLists  
**LUGroup:** ExamProc

**Specify Type:**

<u>Response</u>	<u>Description</u>
Specify.....	Specified type of procedure/exam

**Control Source:** ExamTypeSP

**Results of Exam:**

<u>Response</u>	<u>Description</u>
Text.....	Detailed results of exam

**Exam Date:** (mm/dd/yyyy)

**Note:** Response codes, descriptions, and query names for date values are the same as the ones listed above in "LMP Date". (section 5.c.i)

**Month:**  
**Query Name:** qryMonthList

**Day:**  
**Query Name:** qryDayList

**Year:**  
**Query Name:** qryYearList

**Date Type:**

<u>Response</u>	<u>Description</u>
2.....	Three Part Date

**Table Name:** stblLookupLists  
**LUGroup:** DateType

---

**Examiner's Specialty:**

<u>Response</u>	<u>Description</u>
2.....	Attending MD – peds, family doc
3.....	Attending MD – neonatologist
4.....	Attending MD – obstetrician
5.....	Attending MD – other
6.....	Attending MD – NOS
7.....	Housestaff MD
8.....	Nurse pract (PNP) or physician asst (PA)
9.....	Registered nurse (RN)
10.....	Attending midwife (CNM)
21.....	Allergy/immunology MD
22.....	Behavioral/developmental peds MD
23.....	Cardiology MD
24.....	Dermatology MD
25.....	Endocrinology MD
26.....	Gastroenterology MD
27.....	Genetics/metabolism MD
28.....	Hematology/oncology MD
29.....	Infectious disease MD
30.....	Neonatology MD
31.....	Nephrology MD
32.....	Neurology MD
33.....	Pulmonology MD
34.....	Perinatology MD
40.....	Surgeon -- general or pediatric
41.....	Surgeon -- cardiac/thoracic
42.....	Surgeon -- otolaryngology (ENT)
43.....	Surgeon – ophthalmology
44.....	Surgeon -- plastic/reconstructive
45.....	Surgeon – neurosurgeon
46.....	Surgeon -- orthopedics/hand
47.....	Surgeon – urology
48.....	Surgeon – NOS
88.....	Other specified specialty
99.....	NS - Not Stated

**Table Name:** stblLookupLists

**LUGroup:** ExamGivenBy

---

**Specify Specialty:**

<u>Response</u>	<u>Description</u>
Specify.....	Specified specialty of examiner

**Control Source:** ExamGivenBySP

---

**Location of Exam:**

<u>Response</u>	<u>Description</u>
101.....	Birth Hospital



102.....	Referral Hospital
103.....	Perinatal/Prenatal Diagnosis Center
104.....	Genetics Laboratory
105.....	Medical Lab, NOS
106.....	Specialty Clinic, Specify
199.....	Other, Specify
200.....	NS- Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** ExamGlvenAt

#### Specify Location of Exam:

<u>Response</u>	<u>Description</u>
Specify.....	Specified location of exam*

**\*Note:** It is important when specifying location of exams NOT to use identifiers (i.e.: names or addresses of hospitals, clinics, or medical facilities).

**Control Source:** ExamGivenAtSP

#### 5.c.i.4. Autopsy

The “Autopsy” screen records whether or not an autopsy was performed on a stillborn baby or induced abortion. The first item is a gateway field. If “no” is recorded, then all of the other items below are not shown

The screenshot shows a software window titled "National Birth Defects Prevention Study" with a menu bar (File, Edit, Utilities, Help). Inside, there's a sub-window "Clinical Records - New and Pending". It features a "Search for Study ID:" dropdown set to "990030204" and buttons for "Preview/Print", "OK", "Cancel", and "Locked". Below this is a "Completion Status" section with radio buttons for "New", "Pending", "Pending, Ready for Interview", and "Completed". A tabbed interface shows "General", "Diagnosis", "Exams", "Autopsy", "Lab Tests", "Comments", "Case Notes", and "Case Classification". The "Autopsy" tab is active, showing a form with "Was an Autopsy Performed?" set to "Yes", a "Date of Autopsy: mm/dd/yy" field, a large text area for "Results of Autopsy:", and a checkbox for "Autopsy Results Pending/Unconfirmed".

#### “Was an Autopsy Performed?”

<u>Response</u>	<u>Description</u>
1.....	Yes

2.....No  
4.....NA  
97.....NS- Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** YesNoNA

**Date of Autopsy:** (mm/dd/yyyy)

**Note:** Response codes, descriptions, and query names for date values are the same as the ones listed above in “LMP Date”. (section 5.c.i)

**Month:**  
**Query Name:** qryMonthList

**Day:**  
**Query Name:** qryDayList

**Year:**  
**Query Name:** qryYearList

**Date Type:**

<u>Response</u>	<u>Description</u>
2.....	Three Part Date

**Table Name:** stblLookupLists  
**LUGroup:** DateType

**Results of Autopsy:**

<u>Response</u>	<u>Description</u>
Text.....	Detailed results of autopsy recorded from pathology reports/medical records or abstractor’s notes.

**Autopsy Results Pending/Unconfirmed**

<u>Response</u>	<u>Description</u>
Check box.....	True/False
-1.....	Yes (true)
0.....	No (false)

**5.c.i.5. Lab Tests**

The “Lab Tests” screen lists only tests that led to diagnoses or pertinent tests that were normal. It records which lab tests were performed, its date and its results. The first item is a gateway field. If “no” is recorded, then all of the other items below are not shown.

**“Were Cytogenic, Molecular or Other Tests Performed?”**

<u>Response</u>	<u>Description</u>
1.....	Yes
2.....	No
97.....	NS- Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** YesNoDK

**Test Performed:**

<u>Response</u>	<u>Description</u>
1.....	Chromosome analysis, specify tissue
2.....	FISH/ISH -fluorescent in situ hybridization, specify tissue
3.....	MSAFP, estriol, HCG, other mat. serum markers
4.....	Fetal AFP or AchE
5.....	Other biochemistries or enzyme assays, specify
6.....	DNA or molecular studies
7.....	TORCH titers
88.....	Other, Specify

**Table Name:** stblLookupLists  
**LUGroup:** CytoProc

**Specify Test Name:**

<u>Response</u>	<u>Description</u>
Specify.....	Specified test name

**Control Source:** TestNameSp

**Test Date:**

<u>Response</u>	<u>Description</u>
1.....	Prenatal
2.....	Postnatal
3.....	Known date

**Table Name:** stblLookupLists  
**LUGroup:** TestDateGrp

---

**Known Date:** (mm/dd/yyyy)

**Note:** Response codes, descriptions, and query names for date values are the same as the ones listed above in "LMP Date". (section 5.c.i)

**Month:**

**Query Name:** qryMonthList

**Day:**

**Query Name:** qryDayList

**Year:**

**Query Name:** qryYearList

**Date Type:**

<u>Response</u>	<u>Description</u>
2.....	Three Part Date

**Table Name:** stblLookupLists  
**LUGroup:** DateType

---

**Results of Test:**

<u>Response</u>	<u>Description</u>
Text.....	Detailed results of test

**Control Source:** TestResults

---

**"Test Results are Pending/Unconfirmed"**

<u>Response</u>	<u>Description</u>
Check box.....	True/False
-1.....	Yes (true)
0.....	No (false)

---

## 5.c.i.6. Comments

The “Comments” screen is where general comments are recorded pertaining to family history, maternal history, possible teratogenic exposures, type of delivery, drugs taken at birth, and/or any maternal exposure to cigarette smoke, alcohol, and/or drugs.

There is a space below for the clinical genetics reviewer to record the date of review, what type of case it is, and the reviewer’s initials. There is also a text box provided for the reviewer to indicate the reason for exclusion of a certain case linked to the tracking system.

### General Case Comments:

<u>Response</u>	<u>Description</u>
Text.....	General comments for case

### Family History of Major Birth Defect:

<u>Response</u>	<u>Description</u>
1.....	Yes
2.....	No
97.....	NS- Not Stated.

**Table Name:** stblLookupLists  
**LUGroup:** YesNoDk

### “Was this”:

<u>Response</u>	<u>Description</u>
1.....	the same defect
2.....	a different defect
97.....	NS- Not Stated.

**Table Name:** stblLookupLists  
**LUGroup:** FamHistSame

---

**Clinical Genetics Reviewer**

**Date of Review:** (mm/dd/yyyy)

<u>Response</u>	<u>Description</u>
MM/DD/YYYY.....	Month/Day/Year

**Control Source:** SubmissionDate

**Date Type:**

<u>Response</u>	<u>Description</u>
1.....	Date Data Type

**Table Name:** stblLookupLists  
**LUGroup:** DateType

---

**Eligibility Status:**

<u>Response</u>	<u>Description</u>
1.....	NBDPS Case
2.....	Not a NBDPS Case
3.....	? –Need More Information

**Table Name:** stblLookupLists  
**LUGroup:** EligStatus

---

**Specify Additional Information Required for Eligibility Status:**

<u>Response</u>	<u>Description</u>
Text.....	Additional information required for eligibility status

---

**Initials of Clinical Reviewer:**

<u>Response</u>	<u>Description</u>
Text.....	Reviewer's Initials

---

**Reason for Exclusion/Not a Case Determination:**

<u>Response</u>	<u>Description</u>
Text.....	Reviewer's explanation.

---

### 5.c.i.7. Case Notes

The “Case Notes” screen is where notes can be written and shared back and forth among the clinicians, the coordinators and the CDC reviewers. The database features a tool that allows one to browse just the case notes. The notes contain the following information: to whom the note is directed, whom it is from, the date it was written, the initials of whom wrote it, and whether it was acknowledged by the receiver/reader.

#### Notes To:

<u>Response</u>	<u>Description</u>
1.....	Clinician
2.....	Coordinator
3.....	CDC Reviewer

**Table Name:** stblLookupLists  
**LUGroup:** NoteSubjects

### 5.c.i.7.A Case Notes

**Add/New Note → Add New Case Note**

The “Case Notes” screen also has an “Add/New Note” button that goes to another screen “Add New Case Note”. The “Add New Case Note” screen contains the study ID, and the same information as above: Note To, Note From, Date (automatically imputed), and From Initials. It also has an “OK” button and a “Cancel” button.

The screenshot shows a software window titled "National Birth Defects Prevention Study". Inside, there's a sub-window "Clinical Records - New and Pending". It features a search bar for "Study ID" with the value "990030204". Below this are radio buttons for "Completion Status": "New" (selected), "Pending", "Pending, Ready for Interview", and "Completed". There are tabs for "General", "Diagnosis", "Exams", "Autopsy", "Lab Tests", "Comments", "Case Notes", and "Case Classification". A "Notes" section is open, showing "Add New Case Note" with fields for "Study ID" (990030204), "Note To", "Note From", "From Initials", and "Date" (1/2/2002). Buttons for "OK", "Cancel", and "Locked" are present. The bottom status bar indicates "Form View" and "NUM".

**Notes To:**

<u>Response</u>	<u>Description</u>
1.....	Clinician
2.....	Coordinator
3.....	CDC Reviewer

**Table Name:** stblLookupLists  
**LUGroup:** NoteSubjects

---

**Notes From:**

<u>Response</u>	<u>Description</u>
1.....	Clinician
2.....	Coordinator

**Table Name:** stblLookupLists  
**LUGroup:** NoteSubjects

---

**Date:**

**Note:** Automatically inputted from database.

---

**From Initials:**

<u>Response</u>	<u>Description</u>
Text.....	Initials of person who wrote the notes.

---

**Acknowledged:**



<u>Response</u>	<u>Description</u>
Check box.....	True/False
-1.....	Yes (true)
0.....	No (false)

### 5.c.i.8. Case Classification

The "Case Classification" screen is only to be used for specific studies. It lists the research study number (which represents a specific study listed under the pull-down menu in "research study" in this screen) and the reviewer's initials. The reviewer classifies individual cases for particular studies, including the classification date, the initials of the reviewer, recommendations, etiology, pattern, summary of classifications, and comments or recordings.

There is an "Edit Study Classification" button that allows the reviewer to edit any of the above fields. This button then changes to "Save Study Classification" which allows the reviewer to save any editorial comments or recordings. The comments section is for a particular summary/syndromic diagnosis made by the reviewer or other verbatim information.

The screenshot shows the 'Clinical Records - New and Pending' window. At the top, there's a search bar for 'Study ID' with the value '990030204'. Below this are buttons for 'Preview/Print', 'OK', 'Cancel', and 'Locked'. The window has several tabs: 'General', 'Diagnosis', 'Exams', 'Autopsy', 'Lab Tests', 'Comments', 'Case Notes', and 'Case Classification'. The 'Case Classification' tab is selected. On the left, there's a 'List' table with columns 'Study Num' and 'Rev Init'. The table contains four rows of data. On the right, there's a 'Classifications' form with fields for 'Research Study', 'Reviewer Initials', 'Classification Date', 'Recommendation', 'Etiology', 'Pattern', 'Summary Classification', and 'Comment'. The 'Research Study' dropdown is set to '0' with the text 'No specific study'. The 'Reviewer Initials' field contains 'iku'. The 'Classification Date' is '8/22/2000'. The 'Recommendation' dropdown is 'Not Yet Classified'. The 'Etiology' dropdown is 'Unknown'. The 'Pattern' dropdown is 'Not applicable'. The 'Summary Classification' dropdown is 'NA'. The 'Comment' field is empty.

List:

<u>Response</u>	<u>Description</u>
Study Number.....	List of study ID numbers

<u>Response</u>	<u>Description</u>
Reviewer's Initials	List of reviewer's initials beside the study ID numbers.

Table Name: tblCaseRecords\_Classification

Research Study:

**Note:** See Appendix .....CI-1 for response codes (Research Study ID Numbers) and descriptions (Research Study Description).

**Table Name:** tblResearchStudies

---

**Reviewer's Initials:**

<u>Response</u>	<u>Description</u>
Text.....	Reviewer's Initials.

---

**Classify Date:**

**Note:** Automatically inputted from database.

---

**Recommendation:**

<u>Response</u>	<u>Description</u>
1.....	Include in study
2.....	Exclude from study
3.....	Not yet classified

**Table Name:** stblLookupLists  
**LUGroup:** StudyInclude

---

**Etiology:**

<u>Response</u>	<u>Description</u>
6.....	Unknown
4.....	Teratogens
5.....	Uterine factors
1.....	Single gene (not NBDPS)
2.....	Chromosomal (not NBDPS)
3.....	Continuous gene (not NBDPS)

**Table Name:** stblLookupLists  
**LUGroup:** Etiology

---

**Exclude Criteria:**

<u>Response</u>	<u>Description</u>
1.....	Defect secondary to defect not included in current study.
2.....	Syndrome (unknown etiology) strongly suspected.
3.....	Method of diagnosis excluded for present study.

**Table Name:** stblLookupLists  
**LUGroup:** ExcludeCriteria

**Specify:**

<u>Response</u>	<u>Description</u>
-----------------	--------------------

Text.....Specified exclude criteria.

**Pattern:**

<u>Response</u>	<u>Description</u>
1.....	Solitary major defect (isolated)
2.....	Sequence
3.....	Solitary major + minors
4.....	Multiple major (previously described pattern), Specify
5.....	Multiple major (not previously described pattern)
6.....	Additive
9.....	Not applicable
10.....	Unknown

**Table Name:** stblLookupLists  
**LUGroup:** Pattern

**Pattern Specify:**

<u>Response</u>	<u>Description</u>
Text.....	Specified pattern

**Summary Classification:**

<u>Response</u>	<u>Description</u>
0.....	NA
1.....	Isolated
2.....	Multiple
3.....	Syndrome

**Table Name:** stblLookupLists  
**LUGroup:** SummaryClassify

**Comment:**

<u>Response</u>	<u>Description</u>
Text.....	Comments made by reviewer.

**5.c.i.8.A Case Classification**

**Add/New Case Classification → Add New Classification**

The “Case Classification” screen has an “Add/New Case Classification” button which provides the study ID and allows the reviewer to select a new case classification to the study from a pull-down menu. The reviewers also write their initials, and there is an “OK” button and a “Cancel” button. The figure below will appear within the “Case Classification” screen:

**Add New Classification**

Study ID: 990030204      Reviewer Initials:

For Study:

OK      Cancel

### 5.c.ii. Section B: “Completed”

The “Completed” button leads to the “Clinical Records –Completed” screen, where the data manager can search for a completed study ID number. Like the “New and Pending” section, there are 8 tabs for cases that lead to other screens within the “Completed” section: “General”, “Diagnosis”, “Exams”, “Autopsy”, “Lab Tests”, “Comments”, “Case Notes”, and “Case Classification”. For controls, only 3 tabs are shown: “General”, “Case Notes”, and “Case Classification”. All of these screens will show the “Search for Study ID” field, the “Study ID” field, the “Preview/Print” button, the “OK” button, the “Cancel” button, the “Locked” button and the “Completion Status” field which marks the case is “completed” from the options: “New”, “Pending”, “Pending/Ready for Interview”, or “Completed”. The “Completed” screen and the ones within, are the same as those for the “New and Pending”. Please see the above section (6.a.) “New and Pending” for response codes, descriptions, and any other information regarding table name, LU Groups, and queries for the screens in this section (6.b) “Completed”.

### 5.c.iii. Section C: “Add New Record”

The “Add New Record” button leads to the “Study ID Information” screen. Where the data manager can add a new study ID number. There are four fields in this screen. These fields are combined to make a new study ID number composed of information regarding: location of the study (CBDRP site), case birth year, whether it is a case/control/practice, and a unique identifier to that index baby. At the bottom of this screen, the new ID or “Current Study ID” is then given to that index baby. This screen also has an “OK” button and a “Cancel” button.

After the new study ID is created, the data manager can then input all the needed information into the “General” screen in the “New and Pending” section. The figure below will appear once the “Add New Record” button is selected.

**Study ID Information**

Location:  CDC Development Data      OK      Cancel

Case Birth Year:

Case/Control:

Unique Identifier:

Current Study ID: 99yycciii

### 5.c.iii.1 Study ID Information

#### Location:

<u>Response</u>	<u>Description</u>
Number.....	CBDRP site number
10.....	Arkansas
11.....	California
12.....	Iowa
13.....	Massachusetts
14.....	New Jersey
15.....	New York
16.....	Texas
17.....	CDC/Atlanta
99.....	CDC Development Data

**Table Name:** stblSiteInfo

#### Case Birth Year:

<u>Response</u>	<u>Description</u>
YYYY.....	Birth year of case index
1996.....	1996
---	---
2003.....	2003
97.....	NS- Not Stated

**Table Name:** stblLookupLists  
**LUGroup:** YearList  
**Query Name:** qryYearList

#### Case/Control:

<u>Response</u>	<u>Description</u>
1.....	Case
2.....	Control
3.....	Practice

**Table Name:** stblLookupLists  
**LUGroup:** CaseControl

#### Unique Identifier:

<u>Response</u>	<u>Description</u>
4-Digit Number.....	Number given to specific index baby.

#### Current Study ID:

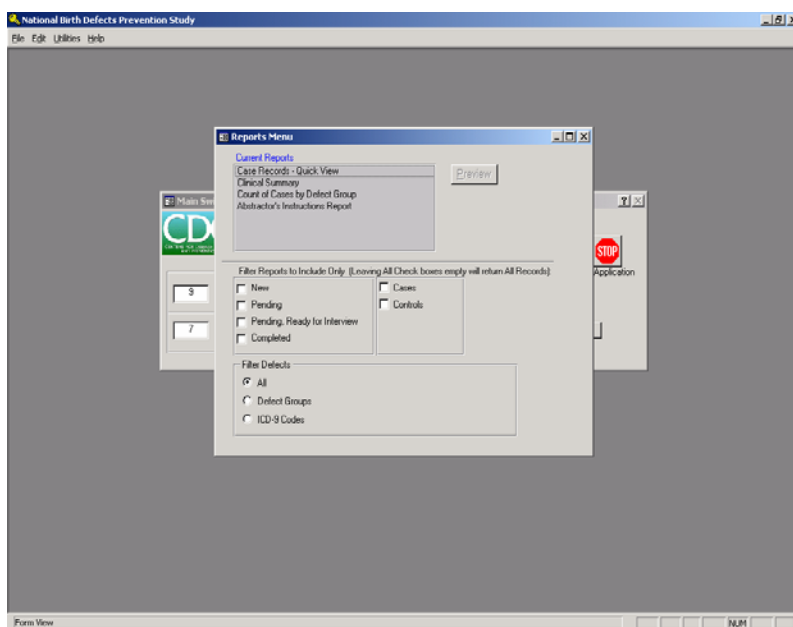
<u>Response</u>	<u>Description</u>
Number.....	Study ID Number given to specific index baby.

#### 5.c.iv. Section D: “Reports”

The “Reports” button leads to the “Reports Menu” screen. The “Reports Menu” generates reports of the case records, clinical summary, count of cases by defect group, and the abstractor’s instructions report (which is the same as the Explanation/Glossary of defects found under the Diagnosis section of the Clinical database).

##### 5.c.iv.1 Reports Menu

To view the reports, select from: “Case Records”, “Clinical Summary”, “Count of Cases by Defect Group”, and the “Abstractor’s Instructions Report”, then press the “Preview” button. The “Case Records” and “Clinical Summary” reports can be filtered to include only certain criteria such as: new, pending, pending/ready for interview, and completed cases. The reports can be classified as cases and/or controls. The reports can also be filtered by defects: all (defects), defect groups (a pull-down menu provides a list to choose from), or NBDPS Codes (a pull-down menu of NBDPS codes provides a list to choose from).



#### “Case Records –Quick View” Report:

The “Case Records –Quick View” report lists the study ID, whether it is case or control, infant’s date of birth, gender, pregnancy outcome, and date the report was last reviewed. It distributes this information according to whether it is considered to be “new”, “pending”, or “completed”.

#### “Clinical Summary” Report:

The “Clinical Summary Information” report lists the study ID, the date of birth or term of pregnancy, gender, pregnancy outcome, gestational age, and known age. It also shows diagnosis information on whether it is an eligible defect or possible/probable defect. It shows the NBDPS code and label and the verbatim diagnosis.

### **“Count of Cases by Defect Group” Report:**

The “Count of Cases by Defect Group” report lists the defect groups and a count of how many cases have that specific defect, as well as a total number of defects in the study.

---

### **“Abstractor’s Instructions” Report:**

The “NBDPS Abstractor’s Instructions” report is the same as the one linked to the “Eligible Codes” from the “View/Edit Code” button in the “Diagnosis” section of the Clinical Database. It lists birth defects and their definitions, types of birth defects and their definitions, ICD-9-CM codes, inclusions, exclusions, additional birth defects, information sources and medical records, defect-specific information to abstract, and additional comments.

---

### **Defect Groups:**

**Note:** See Diagnosis section (6.a.ii) under “NBDPS Code / ICD-9CM Code” for response codes, description and code table names of defect groups.

---

### **ICD-9 Codes:**

**Note:** See section 6.a.ii (Diagnosis) under “NBDPS Code / ICD-9CM Code” for response codes, description and code table names of ICD-9 codes.

---

### **5.c.v. Section E: “Browse Case Notes”**

The “Browse Case Notes” button leads to the “Browse Case Notes” screen, where notes can be browsed by filtering: “all” (includes all case notes), “notes to” (case notes to certain people/positions: clinicians, coordinators, or CDC reviewer), “notes from” (case notes from certain people/positions: clinicians, coordinators, or CDC reviewer), and “study ID” (case note records from a pull-down menu of study ID numbers). There is also a checkbox option: “Include notes that have already been acknowledged”.

<b>5.c.v.1 Browse Case Notes</b>
----------------------------------

The screen lists notes by study ID, who it is to (“note to”), whom it is from (“notes from”), note date, initials of who wrote the note, and a checkbox of whether the note has been acknowledged or not. Under the “study ID” number, there is a “View This Record” button that leads to the “Clinical Records” screen for that specific study ID.

**Filtered By:**

(This is an “Option Group” or “Radio Button”, only one choice can be selected).

<u>Response</u>	<u>Description</u>
1.....	All
2.....	Notes To
3.....	Notes From
4.....	Study ID

**Position:**

If filtered by “Notes To” or “Notes From”

<u>Response</u>	<u>Description</u>
1.....	Clinician
2.....	Coordinator
3.....	CDC Reviewer

**Table Name:** stblLookupLists  
**LUGroup:** NoteSubjects

**Records with Notes:**

If filtered by “Study ID”

<u>Response</u>	<u>Description</u>
Number.....	Study ID number –lists all related information to specified study ID.

**Table Name:** tblCaseRecords



**“Include notes that have already been acknowledged”**

<u>Response</u>	<u>Description</u>
Check box.....	True/False
-1.....	Yes (true)
0.....	No (false)

---

**5.c.vi. Section F: “Direct ID Search”**

The “Direct ID Search” field allows the study ID to be inputted into the blank field space and then by pressing the “Find Now” button, the database will look for the clinical information regarding that specific study ID. The search will lead to the “Clinical Records” screen for the specified study ID.

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